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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/687,777	10/13/2000	Mukesh Patel	032481-021	1065
8791	7590	02/22/2006	EXAMINER	
BLAKELY SOKOLOFF TAYLOR & ZAFMAN				DAS, CHAMELI
12400 WILSHIRE BOULEVARD				ART UNIT
SEVENTH FLOOR				PAPER NUMBER
LOS ANGELES, CA 90025-1030				2192

DATE MAILED: 02/22/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/687,777	PATEL, MUKESH	
	<b>Examiner</b> CHAMELI C. DAS	<b>Art Unit</b> 2192	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### **Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1)  Responsive to communication(s) filed on 05 December 2005.

2a)  This action is FINAL.                            2b)  This action is non-final.

3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## **Disposition of Claims**

- 4) Claim(s) 43,52,54,56,109,110,113,114,116,118 and 126-187 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 43,52,54,56,109,110,113,114,116,118 and 126-187 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9)  The specification is objected to by the Examiner.

10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a)  All    b)  Some \* c)  None of:  
1.  Certified copies of the priority documents have been received.  
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)  
2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  
3)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 2/16/06.

4)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_ .  
5)  Notice of Informal Patent Application (PTO-152)  
6)  Other: \_\_\_\_ .

1. This action is in response to the amendment filed on 12/5/05.
2. Claims 43, 52, 54, 56, 109-110, 1131-114, 116, 118, 126-128 have been amended.
3. Claims 129-187 have been added.
4. Claims 1-42 have been previously canceled.
5. Claims 44-51, 53, 55, 57-108, 111-112, 115, 117, 119-125 have been canceled.

***Double Patenting***

6. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321© may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

7. Instant claim 43, 52, 54, 56, 109-187 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-41 of US Patent Number 6,826,749.

Although the conflicting claims are not identical, but they are not patentably distinct from each other because they are obvious variation of each other.

8. Claims 43, 52, 54, 56, 109-187 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-59 of copending Application No. 11/062,012. Although the conflicting claims are not identical, they are not patentably distinct from each other because these two applications have a system comprising a central processing unit and a hardware accelerator to process stack-based instruction in cooperation with the CPU.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

***Claim Rejections - 35 USC § 112***

9. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

10. Claims 52, 126, and 165 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In the claims 52, 126, and 165 the limitation do not explain the term "JSR" and JSR\_W".

The Examiner interprets this limitation as "Jump sub routine (JSR)" and "Jump sub routine write (JSR\_W)".

***Claim Rejections - 35 USC § 103***

11. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 129-146, 158-164, and 172-187 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steinbusch and further in view of Tremblay, US 6,125,439

***For claims 129-146, 158-164, and 172-187:***

- a central processing unit (Steinbusch, page 13, section 3.1 in Introduction)
- a register file associated with the CPU core (Steinbusch, page 14, section "The register stack"), the register set is the register file (Steinbusch, page 33, section 4.3.1) and (page 18, "the instruction ***FIFO, which holds the CPU instructions*** that were generated by the VMI core. The instruction ***FIFO is a part of the register file***")
- a hardware accelerator to process stack-based instructions in cooperation with the CPU (Steinbusch, page 13, section 3.1 "INTRODUCTION", "PRLE set out to design a hardware module than can assist a CPU in executing Java Programs ... THE VMI core is placed between the CPU and the memory"), where "Java" instructions are stack-based instruction (Steinbusch , page 14), and "VMI" is the hardware accelerator, (page 31, section 4.1, "***VMI accelerate Java application*** page 20, last paragraph), clearly indicates that VMI is hardware accelerator, hardware accelerator process stack-based instruction with the CPU core is shown in (page 16, in "The VMI range principal")

- the hardware accelerator marks variables associated with the stack-based instructions in the register file as modified when the variables are updated as a result of the processing of the stack-based instructions to enable selective writing of the variables as marked as modified to memory (page 18, lines 13-18), where VMI generates MIPS instructions that cause to update registers in the VMI, indicates that VMI can modify the registers by selective writing. On paged 32, 36 show that different kinds of registers and the registers hold variables, page 37, 4<sup>th</sup> paragraph shows the registers are labeled (marked) indicates that the variables can be marked.
- a central processing unit (Steinbusch, page 13, section 3.1 in Introduction)
- a register file associated with the CPU core (Steinbusch, page 14, section "The register stack"), the register set is the register file (Steinbusch, page 33, section 4.3.1) and (page 18, "the instruction **FIFO, which holds the CPU instructions** that were generated by the VMI core. The instruction **FIFO is a part of the register file**" )
- a hardware accelerator to process stack-based instructions in cooperation with the CPU (Steinbusch, page 13, section 3.1 "INTRODUCTION", "PRLE set out to design a hardware module than can assist a CPU in executing Java Programs ... THE VMI core is placed between the CPU and the memory"), where "Java" instructions are stack-based instruction (Steinbusch , page 14), and "VMI" is the hardware accelerator, (page 31, section 4.1, "**VMI accelerate**

- Java application" page 20, last paragraph), clearly indicates that VMI is hardware accelerator
- hardware accelerator ... byte code instruction (page 32, where "byte code counter" is the program counter, and "sign extending" operations are "overflow/underflow" operation, page 41, 3<sup>rd</sup> paragraph, page 42 , 4<sup>th</sup> paragraph, "conditional jump ... CPU to write back a value".
  - Steinbusch discloses GOTO instruction (page 42, 2<sup>nd</sup> paragraph).  
Steinbusch does not specifically disclose GOTO\_W instruction. However, Tremblay discloses GOTO\_W instruction (col 71, lines 49). The modification would be obvious because one of the ordinary skill in the art would be motivated to implement the system to write back the value into the register.
  - a register file associated with the CPU core (Steinbusch, page 14, section "The register stack"), the register set is the register file (Steinbusch, page 33, section 4.3.1) and (page 18, "the instruction **FIFO, which holds the CPU instructions** that were generated by the VMI core. The instruction **FIFO is a part of the register file**")
  - a hardware accelerator to process stack-based instructions in cooperation with the CPU (Steinbusch, page 13, section 3.1 "INTRODUCTION", "PRLE set out to design a hardware module than can assist a CPU in executing Java Programs ... THE VMI core is placed between the CPU and the memory"), where "Java" instructions are stack-based instruction (Steinbusch , page 14), and "VMI" is the hardware accelerator, (page 31, section 4.1, "**VMI accelerate**

Java application" page 20, last paragraph), clearly indicates that VMI is hardware accelerator.

- Steinbusch discloses conditional jump and unconditional jump instructions (page 32, page 42, 3<sup>rd</sup> paragraph). Steinbusch does not specifically disclose JSR\_W.

However, Tremblay discloses JSR\_W instruction (col 71, lines 65-67 and col 72 lines 5-15). The modification would be obvious because one of the ordinary skill in the art would be motivated to implement the system to write back the value into the register.

Steinbusch discloses push onto the operand stack (page 31, section 4.2, 5<sup>th</sup> paragraph). Steinbusch does not specifically disclose "Sipush" and "Bipush" byte codes. However, Tremblay discloses "Sipush" and "Bipush" (col 43 lines 8-20) and sign extension (col 65 lines 54-55). The modification would be obvious because one of the ordinary skill in the art would be motivated to insert the new items into the stack.

- a hardware accelerator to process stack-based instructions in cooperation with the CPU (Steinbusch, page 13, section 3.1 "INTRODUCTION", "PRLE set out to design a hardware module than can assist a CPU in executing Java Programs ... THE VMI core is placed between the CPU and the memory"), where "Java" instructions are stack-based instruction (Steinbusch , page 14), and "VMI" is the hardware accelerator, (page 31, section 4.1, "**VMI accelerate**

Java application" page 20, last paragraph), clearly indicates that VMI is hardware accelerator.

Tremblay discloses the hardware accelerator maintains an operand stack for the stack-based instructions in the register file (Tremblay, col 17 lines 1-17), loads variables ... register file (col 27 lines 47-49), stack cache is users as register file is shown in (col 17 lines 11-15), operand stack in the register file define a ring buffer in conjunction with an overflow/underflow ... memory (Tremblay, col 18 lines 48-57). The modification would be obvious because the ring buffer ensures that the stack grows and shrinks in a predictable manner to avoid overflows or overwrites.

12. For all other claims, see the rejection in previous office action, mailed on 6/6/2005.

***Allowable Subject Matter***

13. Claims 52, 126, 147, and 165 would be allowable if rewritten or amended to overcome the double patenting rejections and the rejection(s) under 35 U.S.C. 112, 2nd paragraph, and the rejection over double patenting, set forth in this Office action.

***Conclusion***

14. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chameli Das whose telephone number is 571-272-2696.

The examiner can normally be reached on Monday-Friday from 7:00 A.M. to 3:30 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor Tuan Dam can be reached at 571-272-2695. The fax number for this group is (703) 872-9306.

An inquiry of general nature or relating to the status of this application or proceeding should be directed to the group receptionist whose telephone number is 571-272-2100.

*Chameli C. Das*  
CHAMELI C. DAS  
PRIMARY EXAMINER

2/15/06